



Turing Machines

Simon Niklaus

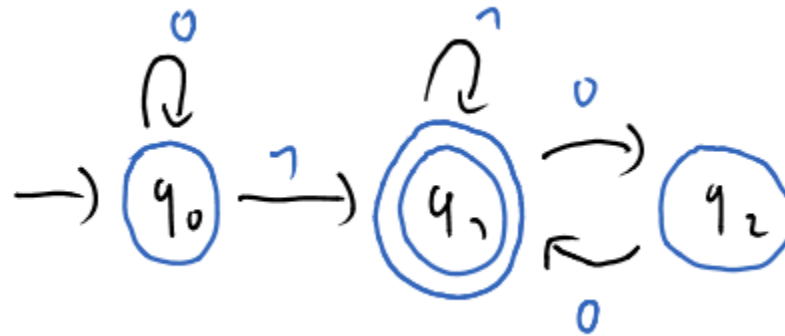
1

recap – alphabets, strings and languages

- ▶ an alphabet defines a set of symbols
 - ▶ $\Sigma = \{a, b, c\}$
- ▶ a string is a sequence of symbols
 - ▶ *accbccca*
- ▶ a language is a set of strings
 - ▶ $\{a, b, c, ab, ac, ba\}$

recap – finite automata

- ▶ what is a finite state machine again?



- ▶ such an automaton can be used, in order to
 - ▶ generate / accept strings
 - ▶ generate / recognize languages

recap – DFAs

- $M = (Q, \Sigma, \delta, q_0, F)$
- Q – a set of states – finite
- Σ – the alphabet / a set of symbols – finite with $\epsilon \notin \Sigma$
- δ – a transition function – $Q \times \Sigma \rightarrow Q$
- q_0 – a starting state – with $q_0 \in Q$
- F – a set of accepting / final states – with $F \subseteq Q$

recap – NFAs

- $M = (Q, \Sigma, \delta, q_0, F)$
- Q – a set of states – finite
- Σ – the alphabet / a set of symbols – finite with $\epsilon \notin \Sigma$
- δ – a transition function – $Q \times \Sigma_\epsilon \rightarrow P(Q)$
- q_0 – a starting state – with $q_0 \in Q$
- F – a set of accepting / final states – with $F \subseteq Q$
- note, that the only difference to DFAs lies within the transition function

recap – exercises

- $\Sigma = \{a, b\}$
- $L = \{w \mid w = aba\}$
- $L = \{w \mid w = aba \text{ or } w = aaa\}$
- $L = \{w \mid w \text{ does contain } aba \text{ in it}\}$
- $L = \{w \mid w \text{ does not contain } aabb \text{ in it}\}$
- $L = \{w \mid w \text{ contains an odd number of } a\text{'s and an odd}$

recap – exercises

- ▶ the last exercise is not a regular language – to prove this, the pumping lemma for regular languages can be applied
- ▶ it is sufficient for us though, to realize that finite automata do not have memory and the given language would require some sort of memory

chomsky hierarchy

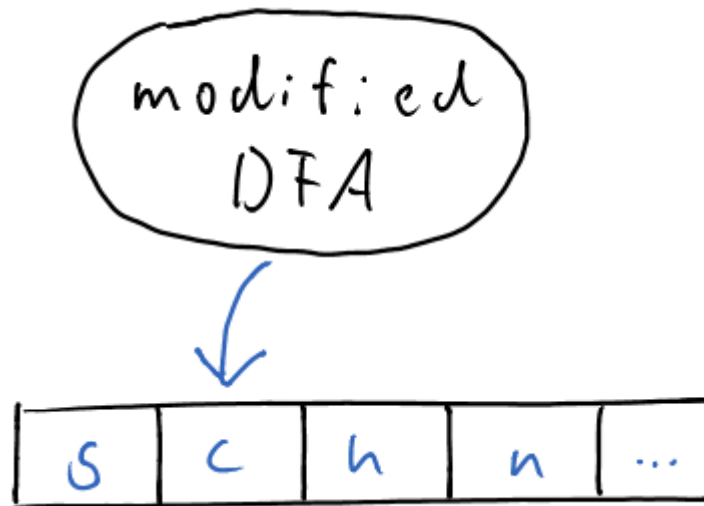
- ▶ type 3 – regular
 - ▶ finite state automata
 - ▶ no memory / only a history, finite
 - ▶ $\{w \mid w \text{ is of the form } a^n b \text{ with } n \geq 0\}$
- ▶ type 2 – context-free
 - ▶ nondeterministic pushdown automata
 - ▶ stack, infinite
 - ▶ $\{w \mid w \text{ is of the form } a^n b^n \text{ with } n \geq 0\}$

chomsky hierarchy

- ▶ type 1 – context-sensitive
 - ▶ linear bounded nondeterministic turing machines
 - ▶ tape, linear bounded
 - ▶ $\{w \mid w \text{ is of the form } a^n b^n c^n \text{ with } n \geq 0\}$
- ▶ type 0 – recursively enumerable
 - ▶ turing machines
 - ▶ tape, infinite
 - ▶ $\{w \mid w \text{ is a prime number}\}$

note: $\forall q \exists p \forall x \forall y [((q < p) \wedge (x > 1) \wedge (y > 1)) \rightarrow (x * y \neq p)]$ where $q, p, x, y \in \mathbb{N}$

an outline to start with



- ▶ there are variations within the definition from textbook to textbook – these variations are all equivalent

characteristics of the finite automaton

- ▶ we are going to start with deterministic turing machines – the controlling finite automaton is therefore chosen to be deterministic
- ▶ there is only one accept state and furthermore an additional reject state – the latter one is similar to a dead state
 - ▶ both of these states take effect immediately
 - ▶ this property will be useful with nondeterminism
- ▶ the head of the turing machine initially points at the leftmost cell

characteristics of the tape

- ▶ it is infinite, even though it has a lower bound – every unused cell contains the blank symbol \sqcup
- ▶ we can use each transition of the controlling finite automaton to read and write to the tape – the head can furthermore be moved to the left or to the right
- ▶ when working with finite state machines, we implicitly had an input string that we have read successively
 - ▶ turing machines do not have an input stream
 - ▶ we therefore place the input string on the tape initially

uses of turing machines

- ▶ we used finite automata in order to recognize languages
 - ▶ turing machines can be used for the same task
 - ▶ they are capable to recognize a larger set of languages though
- ▶ we can however access and interpret the content of the tape after the turing machine has finished
 - ▶ a turing machine can therefore be used to do actual computations
 - ▶ the output is simply placed on the tape, from which it can be retrieved afterwards

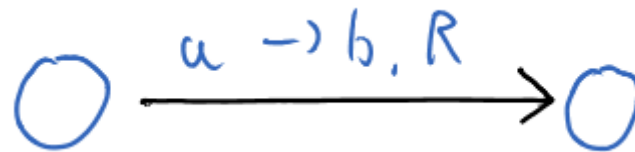
the formal description of a turing machine as a 7 tuple

- $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$
- Q – a set of states – finite
- Σ – the input alphabet – finite with $\sqcup \notin \Sigma$ and $\varepsilon \notin \Sigma$
- Γ – the tape alphabet – finite with $\sqcup \in \Gamma$ and $\Sigma \subset \Gamma$
- δ – a transition function – $Q \times \Gamma \rightarrow Q \times \Gamma \times \{\mathcal{L}, \mathcal{R}\}$
- q_0 – a start state – with $q_0 \in Q$
- q_{accept} – a accept state – with $q_{acc} \in Q$ and $q_{acc} \neq q_{rej}$
- q_{reject} – a reject state – with $q_{rej} \in Q$ and $q_{rej} \neq q_{acc}$

note: the transition function is deterministic

the new transition function

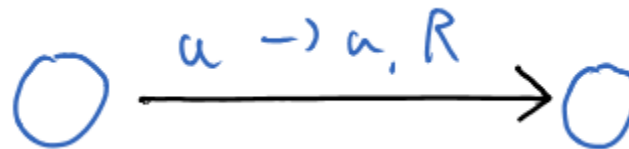
- ▶ given a current state of the controlling finite automaton, we read a symbol from the current cell
- ▶ we then write a symbol to the same cell and move either left or right afterwards



- ▶ what if we are on the left side of the tape and move the head left again – the head will stay at the leftmost cell

the new transition function

- ▶ since the definition forces us to always write a symbol to the tape, how do we leave a cell unchanged – we basically write the same symbol again



- ▶ since this is a quite common problem, we use a shorthand for that and simply omit the symbol on the right hand side of the transition

possible outcomes of a computation

- ▶ the turing machine can either
 - ▶ halt and accept
 - ▶ halt and reject
 - ▶ loop
- ▶ note that it is impossible to loop with a finite state machine on a given input string, because strings are finite in length
- ▶ you might ask why a turing machine might loop, but this is actually an important property, that enables entirely new possibilities

let us do some obvious exercises to start with

- ▶ $\Sigma = \{a, b\}$
- ▶ $L = \{w \mid w = aba\}$
- ▶ $L = \{w \mid w = aba \text{ or } w = aaa\}$
- ▶ $L = \{w \mid w \text{ does contain } aba \text{ in it}\}$
- ▶ $L = \{w \mid w \text{ does not contain } aabb \text{ in it}\}$
- ▶ $L = \{w \mid w \text{ contains an odd number of } a\text{'s and an odd}$

back to the chomsky hierarchy

- ▶ from the chomsky hierarchy
 - ▶ type 3 – regular
 - ▶ type 0 – recursively enumerable
- ▶ a finite state machine is basically just a turing machine that does not write to the tape and always moves one step to the right
- ▶ regular languages are therefore a subset of recursively enumerable languages

and now something more advanced

- ▶ $\Sigma = \{a, b\}$
- ▶ $L = \{w \mid w \text{ is of the form } a^n b^n \text{ with } n \geq 0\}$
- ▶ $L = \{w \mid w \text{ is of the form } a^n b^n c^n \text{ with } n \geq 0\}$
- ▶ since these languages are not regular, regular languages are a proper subset of recursively enumerable languages

describing turing machines on a higher level

- ▶ describing the controlling finite automaton is a rather dull and inconvenient task
- ▶ equivalent to programming languages, we can introduce a layer of abstraction by describing an algorithm on a higher level
 - ▶ there is no specific notion of such languages for turing machines
 - ▶ a higher level description is therefore sufficient, once it is convincing enough

let us practice this higher level descriptions

- ▶ $\Sigma = \{a, b\}$
- ▶ $L = \{w \mid w \text{ contains an equal number of } a\text{'s and } b\text{'s}\}$
- ▶ $L = \{w \mid w \text{ contains twice as many } a\text{'s as } b\text{'s}\}$
- ▶ $L = \{w \mid w \text{ does not contain as many } a\text{'s as } b\text{'s}\}$

a possible solution for the first language

1. start by shifting everything on the tape one cell to the right and placing a special symbol into the leftmost cell
 - ▶ we are therefore able to detect the lower bound of our tape
2. scan for an a from the lower to the upper bound of the tape
 - ▶ if an a has been found, cross it out and go to 3
 - ▶ if no a has been found, go to 4
3. scan for a b from the lower to the upper bound of the tape
 - ▶ if a b has been found, cross it out and go to 2
 - ▶ If no b has been found, reject
4. scan for a b from the lower to the upper bound of the tape
 - ▶ if a b has been found, fail
 - ▶ if no b has been found, accept

definition – configurations

- a configuration represents the entire state of a turing machine – it is therefore basically a snapshot
- what we have to store in a configuration
 - the content of the tape
 - the state of the controlling finite automaton
 - the current position of the head
- this can be done with a single string, where the state is simply inserted in front of the currently located cell
 - $a b q_7 c d$

note: obviously, Q and Γ have to be disjoint

definition – computation histories

- ▶ a sequence of configurations represent a computation history, if
 - ▶ the sequence starts with the start configuration
 - ▶ there were only legal transitions between two consecutive configurations
 - ▶ the sequence ends with an accepting or rejecting configuration
- ▶ note that this model of computation is very similar to the computational model of finite automata

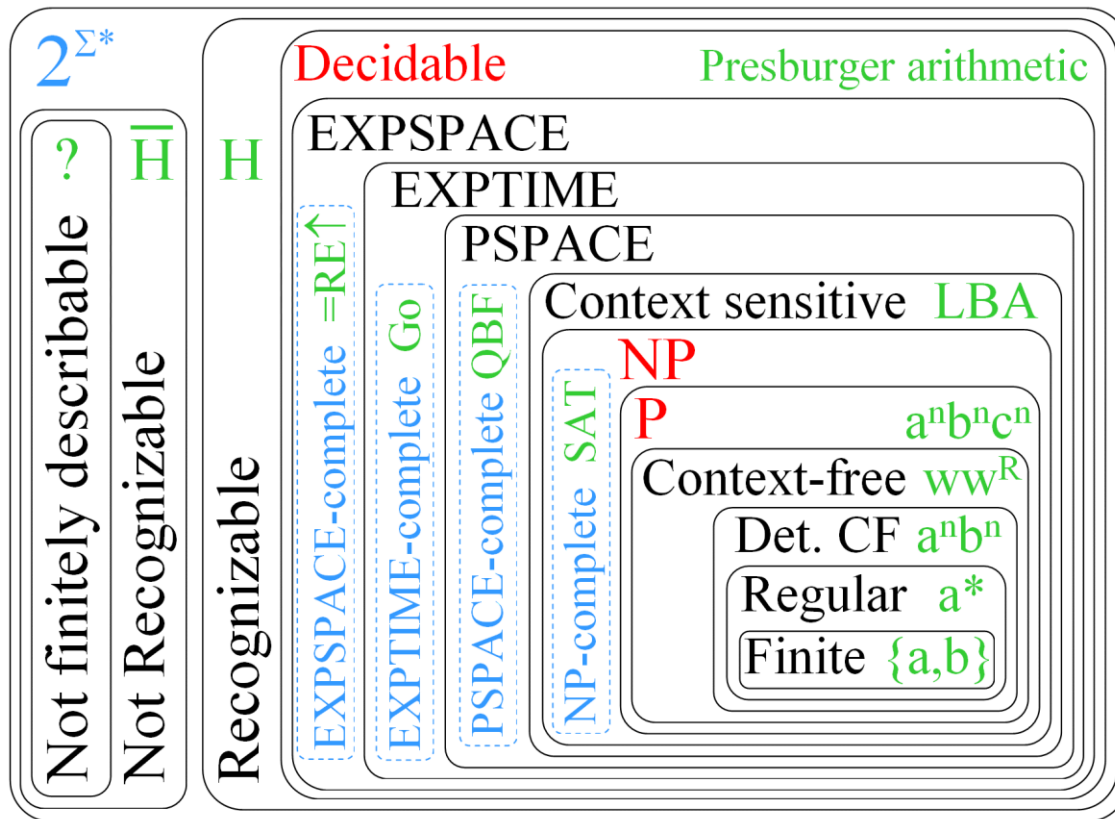
extending the chomsky hierarchy

- ▶ languages that are recursive / decidable
 - ▶ given an input string, the turing machine will always halt
 - ▶ it will therefore either end in an accepting or rejecting state and therefore accept or reject the input string
- ▶ languages that are recursively enumerable / turing recognizable / semi decidable
 - ▶ given an input string that is in the language of the turing machine, the turing machine will always halt and accept
 - ▶ given an input string that is not in the language, the turing machine will either reject or loop

extending the chomsky hierarchy

- ▶ languages that are not recursively enumerable / not turing recognizable
 - ▶ the turing machine does not even reliably halt for input strings that are within the language of the turing machine
 - ▶ it is quite hard to imagine such a language, but proving its existence is rather easy – we are going to do this in a moment

extending the chomsky hierarchy



the acceptance problem for turing machine

- ▶ given a turing machine, is it possible to decide whether this turing machine halts on a certain input
 - ▶ of course not, otherwise every turing machine could be converted into one that always halts
 - ▶ in order to prove that it is recognizable, we have to present a turing machine that recognizes this language
 - ▶ this can easily be done by simply emulating this turing machine on our turing machine
- ▶ this language is commonly defined and used
 - ▶ $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a turing machine and } M \text{ accepts } w\}$

the acceptance problem for turing machine

- ▶ since we know that A_{TM} is turing recognizable, $\overline{A_{TM}}$ has to be not turing recognizable
 - ▶ otherwise we could simultaneously start the turing machine for both languages on a given input string
 - ▶ per definition of turing recognizable languages, one of them would have to halt
 - ▶ we could therefore decide A_{TM}

variants of turing machines

- ▶ as already mentioned, there are variations within the definition of touring machines from textbook to textbook – these variants are all equivalent
- ▶ what about a tape that has no lower bound
 - ▶ every time we detect that we are at the leftmost cell and want to go further left, we shift the content of the tape to the right
- ▶ but how do we detect the left hand side of the tape
 - ▶ we initially shift the whole tape at the beginning of the computation to the right and place a special symbol into the leftmost cell

variants of turing machines

- ▶ what about a multitape turing machine – the transition function therefore has to be extended
 - ▶ it is quite exhausting to prove this
 - ▶ the tapes are concatenated and stored on a single tape, separated by a special symbol
 - ▶ the position of each head in each subtape is preserved through a marking mechanism of symbols
 - ▶ in order to perform a transition, all the subtapes have to be scanned and updated, once the appropriate transition has been found
 - ▶ whenever a head moves off the rightmost cell of a subtape, the following tapes have to be shifted

turing completeness

- ▶ the turing machine is the most universal automaton that we know so far
- ▶ if a computational model is able to simulate a turing machine, this model is turing complete
- ▶ this is quite easy to achieve though, since *mov* for example is already turing complete

nondeterministic turing machines

- $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$
- Q – a set of states – finite
- Σ – the input alphabet – finite with $\sqcup \notin \Sigma$ and $\varepsilon \notin \Sigma$
- Γ – the tape alphabet – finite with $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$
- δ – a transition function – $Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{\mathcal{L}, \mathcal{R}\})$
- q_0 – a start state – with $q_0 \in Q$
- q_{accept} – a accept state – with $q_{acc} \in Q$ and $q_{acc} \neq q_{rej}$
- q_{reject} – a reject state – with $q_{rej} \in Q$ and $q_{rej} \neq q_{acc}$

note: only the transition function has changed

configurations and computation histories

- ▶ at any given point, a configuration of a nondeterministic turing machine could have more than one successor
- ▶ the computation historie is therefore not necessarily a sequence of configurations any more
 - ▶ it instead resembles a tree
 - ▶ this tree therefore contains all possible choices that are implied through the nondeterminisim

possible outcomes of a computation

- ▶ the nondeterministic turing machine can either
 - ▶ halt and accept, if any branch of the computation history accepts
 - ▶ halt and reject, if all branches of the computation history reject
 - ▶ loop, if no accepting configuration has occurred that and there are still ongoing branches within the history
- ▶ note, that these three possibilities are the same as for deterministic turing machines – they are just defined

is a nondeterministic turing machine more powerful

- ▶ of course not, otherwise we would have a problem with the definition of the turing completeness
 - ▶ we can simulate a nondeterministic turing machine on a deterministic one, by emulating the computational history
 - ▶ we therefore have to do a state based search
 - ▶ a depth first search would be simple, but would fail to perform the task, because we could end up in a branch that never halts
 - ▶ a breadth first search has therefore been done, which is rather complex – the usual proof for example already requires a deterministic turing machine with three tapes

i hope you had fun, at
least i had

Simon Niklaus